

	CILEx Regulation Limited	
Date	9 July 2024	
Item	11	
Title	Transparency Rules/Handbook Regulations amendments 2024	
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Purpose	This paper seeks a Board decision on the outcome of the consultation on the Transparency Rules and CILEx Regulation ACCA Handbook	
Recommendation	The Board is asked to consider findings from the consultation and, if determined necessary, to modify the proposal modified as appropriate. APPROVAL from the Board is then sought to submit a statutory application to the Legal Services Board (LSB) for approval.	
Timing	The consultation ended at 5.00pm on 1 July 2024	
Impact assessment	Work being undertaken demonstrates that CRL is well-led, consumer-focused and promotes an independent, strong, diverse profession	
Impact on Regulatory Objectives	The assurance provided by this report has a positive impact on the following regulatory objectives: <ul style="list-style-type: none"> • Public interest • Access to justice • Consumer interest • Promoting competition 	
Implications for resources	Work will be undertaken by policy, entity authorisation/supervision and digital comms teams	
Impact on consumer empowerment	Increased provision of information for consumers by CILEX Authorised Entities and CILEX-ACCA Probate firms will improve the information available to consumers	
Impact on ongoing competence	N/A	
Publication status	For publication	

1. The Board will recall that at the Board meeting on 17 May 2024 authorisation was given to commence a consultation on proposed changes to extend CRL's transparency requirements. These apply as Rules to the CILEX authorised firms and as Regulations (which largely mirror the Rules) to CILEX-ACCA Probate firms.
2. The proposed changes aim to increase decision making information firms provide for consumers. They are necessary to bring CRL's regulatory

requirements into line with the LSB's Empowering Consumers Statement of Policy.

3. The proposed changes:
 - extend the Transparency Rules to the 10 CILEX authorised firms (out of 19) not currently subject to them, and
 - include a modest revision of the current publication requirements and extension to include publication of mix of staff and a link to Legal Ombudsman decision data in both the Transparency Rules and Regulations.
4. The six-week consultation period ran from 20 May 2024 until 5.00pm on 1 July 2024. Once the consultation period has ended, responses received will be analysed and presented to the Board in a separate paper – **to follow**.
5. The Board will then be asked to determine whether, based on the responses to the consultation, it is necessary to amend or modify the proposed changes.
6. The Board will then be asked to decide on proceeding with a formal Rule and Regulation change application to the LSB.