

CILEx Regulation diversity action plan update Q1 2018 (January to March 2018)

Key: White: action not commenced
 Red: action not progressed or on hold
 Amber: action partly progressed, milestones partly met
 Green: action progressing as planned with milestones achieved

CRL actions	Timeline	RAG rating	CRL progress Q12018	Q2 2018 milestones	LSB review
1. Hold a bank of data for the whole regulated community covering the LSB diversity characteristics. Analyse to build a comprehensive understanding of diversity within the different categories of our regulated community.	By Q4 2022	W	Not due		Aug 2018
2.Repeat survey of self-employed and locums and their employees annually.	By end Q2 2018	W	Not due		
3.Analyse self-employed and locum data, prepare a report, publish on website and forward to the LSB.	By Q3 2017	G	Full report and infographic published on website in February and links to both sent to LSB. Publicised in February and March Regulation Matters and via social media.		
4.Review frequency of self-employed and locum . Take account of the burden, frequency/participation trade off and frequency of data collection by the other regulators will.	During Q1 2017	G	Q1 Reviewed survey participation rates 2013 - 2016 No indication that individuals chose not to take the survey due to frequency. Decision taken to continue with annual survey.		
5.Repeat review at 5.	By end of Q4 2018	W	Not due		
6.Monitor and review diversity data held across CILEx and CRL. Consider surveying other sections of our regulated community.	By Q2 2017	W	Q2 2017 Decision taken to survey all members.	Closed out	
7.Establish LSB diversity characteristics baseline for all regulated individuals through survey of all members.	By Q2 2017	G	Q2 all member survey launched.	Closed out	
8.Repeat all members diversity survey every two years until the data is captured in the new CRM.	By Q2 2019 Biennially going forwards	W	Nothing due	N/a	

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9. Analyse all members' data and prepare report Q3/Q4 every two years.	By Q4 2017	G	See 3 above.	Nothing due	
10. Conduct diversity survey of our firms.	Q3 2018	W		Nothing due	
11. Monitor when to publish a report on the firms' diversity to ensure anonymity.	By Q4 Annually going forwards	W		Nothing due	
12. Continue to collaborate with regulators of firms employing our regulated members, to gain data/information relating to these members, annually.	By Q4 Annually going forwards	R	SRA have not provided spreadsheet of data relating to fellows.	Follow up with SRA for data on Fellows	
LSB Feedback August 2017: <ul style="list-style-type: none"> Data collection activities are fit for purpose. Welcomed: consideration of what does/doesn't work and adjusting collection activities/frequencies accordingly; collaboration with other regulators to gain meaningful data. Plans noted for a new CRM to be in place for the whole CILEx group by 2022, which will improve the collection and monitoring of diversity data. Encouraged to consider whether there may be benefit in engaging with the SRA, who is currently implementing an enhanced IT system, about opportunities this may create for the development of diversity initiatives.					
13. We will review our regulatory arrangements for diversity impact on a planned programme to identify any significant areas of issue or impact. We will develop further work as required to address these.	Annually and by Q4 2022	G		Responses from external consultants to invitations to quote received and preferred supplier identified for first tranche of reviews in relation to BME (barriers to QE, over representation at Tribunals) and female/BME representation within governance structures	Aug 2018
14. The information gained from diversity analysis will be used to inform the impact of strategic decision making on different sections of our regulated community.	Annually and by Q4 2022	W			
LSB Feedback August 2017: <ul style="list-style-type: none"> Welcomed plan to analyse recent diversity data to identify specific areas to be addressed. Expect CR to demonstrate evidence where this analysis has informed policy development in their August 2018 review. Positive to note use of data collected from regulatory processes to inform initiatives to encourage a diverse workforce, rather than relying solely on diversity data collection.					
15. Work with the other legal regulators through the Regulators' Forum and or a separate group to regularly exchange information,	By Q1 2017	G	EDI meeting of December 2017 reported to Regulators' Forum on 7 February 2018.	EDI meeting scheduled for 23 April 2018. LSB request to attend agreed.	Aug 2018

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ideas, good practice and explore the possibility of joint initiatives/ collaboration if appropriate.			LSB attended the Forum to advise on template for reporting in August 2018.		
	By Q3 2017	G	Agreement to collaborate on PRIDE reached at Regulators Forum on 7 February. Collaboration between regulators since meeting and following regulators signed up to parade: SRA, BSB, IPReg, LSB and CRL. CRL given a place in Parade, shared with regulated community via social media.	Meeting between regulators in April to discuss signage and arrangements.	
16. Work with CILEx to continue to raise the profile of diversity within our regulated community through for example encouraging the inclusion of diversity on branch meeting agendas and the establishment of special interest groups. Feedback findings from the diversity surveys and consider action. Aim to meet with CILEx quarterly to exchange information.	By Q2 2017	G	Unable to get CILEx to engage to follow up on their planned actions.	Continue to seek engagement with CILEx to follow up on their planned actions	
LSB Feedback August 2017: <ul style="list-style-type: none"> • Welcomed positive collaboration with the regulators and CILEx. • Collaboration with CILEx has the potential for a meaningful impact. The LSB are interested in learning more about this through their August 2018 review. 					
17. Continue to raise the profile of diversity through news reports and Regulation Matters articles.	By Q4 2017	W	See 4 above.	See 4 above and reference in <i>Regulation Matters</i> articles.	Aug 2018
18. Develop diversity content on our website.	By Q3 2018	A	Diversity 2017 report and infographic published on website and some revision to wording on diversity on website.		
19. Continue to report regularly to the LSB.		G	March telecon with LSB re their action plan expectations. See 4 and 15 above.		
LSB Feedback August 2017: <ul style="list-style-type: none"> • Approach to publishing diversity data and analysis welcomed. • LSB expect to see publishing diversity data continue to occur into the future and through the August 2018 review. • Efforts to engage and communicate with regulated community on the topic of diversity is positive. • Demonstration of efforts to engage the regulated community will be considered as part of LSB's August 2018 review. 					

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<ul style="list-style-type: none"> • LSB would welcome opportunity to be involved with the development of diversity content on the CILEx Regulation website. LSB would also encourage engagement with diversity stakeholder groups when developing this content. 					
<p>LSB Feedback August 2017: Learnings/Challenges</p> <p>CR reported it considers it too early to tell at this stage if there are any challenges/barriers that will prevent it from achieving progress against the outcomes. However, while it is still building upon the diversity profile of its regulated community to inform policy development, it has started to direct efforts where it knows anecdotally that issues exist.</p> <p>Although still developing an understanding of its diversity profile, we consider it positive that CILEx Regulation has taken efforts to address issues identified through its regulatory and operational processes. We would encourage CILEx Regulation to continue to rely on evidence from a number of sources in order to identify areas which could benefit from greater attention.</p>					