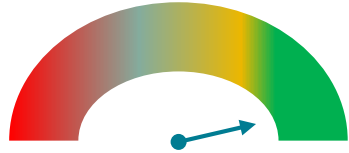


IGR and Greater Independence

Overall Programme Status: **GREEN**



The initial stages of all projects involve investment in relationship building, as well as time to uncover and absorb information and documentation. It has taken longer than expected to access the resources that we need and identify the other streams of work, past and present, that in some way impact or potentially inform this project. This is due to, we believe: a. the complexity of an overarching project such as this; it takes time to identify all the dependencies/inputs and b. internal communications/knowledge management. Although, we are not quite as advanced as we would like to be, we have now developed a clear understanding of what we feel needs to be done, both in terms of implementing IGR and in considering the issue of greater independence.

Status Dashboard

IGR

Overall, good progress. All activities on track except the following which are work in progress:

- Continuing to track down all relevant documents
- Continuing to identify areas of interaction between CRL/CILEx

INDEPENDENCE




All activities on track, although the following are work in progress:

- Defining scope of work
- Managing additional requirements/inputs
- Newly emerging deadlines

RISK MANAGEMENT

To monitor existing or identify new risks:

- Waiver update – no identified requirement
- Potential future risk to note – overloading of key staff members (juggling projects and BAU)

Key:  On track  Needs support to get back on track  Needs significant support to get back on track

Project Highlights: Key deliverables

Past reporting period **Q4 2019**

- We held face-to-face meetings with key staff members to capture their views and held phone conversations with the Chairs of CRL and CILEx Boards
- We produced the project overview which went to the September Boards
- We met with the lawyers writing the new protocols (two meetings) and a meeting with the expert responsible for the finance modelling/costings work, to ensure a joined-up approach.
- We have produced a document listing all the policies and agreements (that we can currently identify) that need to be reviewed
- We have started to review governance instruments to ensure IGR compliance and identify gaps.
- We have started to map CRL/CILEx interactions
- We have started to identify all people involved in/with influence over CRL and regulatory matters
- We have produced a project timeline
- We have produced an outline for the 'Greater Independence' report

Next reporting period **Q1 2020**

- Hook Tangaza 'Greater independence report' focusing on issues of financial autonomy.
- Produce updates for both boards
- Continue to progress IGR work – conclude document and policy scrutiny and move on to education and training/review and improvement process

Exception reporting: Issues mitigation

IGR

- We have created a document tracker to list all the documents we have found and will circulate this amongst the working group to help identify gaps.
- We are compiling a list of the areas of interaction between CRL/CILEx and a list of people, outside of CRL, who potentially have influence over the regulatory body and regulatory matters. We will also share this with the working group and ask them to share with other staff members and/or populate themselves.

Greater Independence

- To a certain extent all of these issues are typical of new projects, as it takes time for the project to bed down and a rhythm to develop. We are seeking strategic input from a NEDs call in advance of the CRL and CILEx Board meetings to refine scope and we have also received support from the working group lead, Stuart Dalton, in providing clarity around our role and focus. We have produced a timeline designed to capture the milestones, deadlines, dependencies and inputs connected to this project. The timeline is attached to this report.