

CONFIDENTIAL - CILEx REGULATION BOARD ONLY

REPORT TO: CILEx REGULATION BOARD
FOR: DECISION
DATE: 19th November 2019
REPORT TITLE: ITEM 8 - Board Self Evaluation 2019
SUBMITTED BY: Stuart Dalton, Director of Policy and Enforcement

PURPOSE OF REPORT:

1. To propose a format and timeline for the Board's 2019 self-evaluation.

BACKGROUND:

2. Last year Board members evaluated their collective performance by responding online to questions in the following areas:
 - strategy;
 - business planning and performance;
 - financial oversight and accountability;
 - risk;
 - stakeholder engagement;
 - control;
 - governance;
 - relationships within the Board;
 - relationship with executive;
 - relationship with CILEx;
 - Board composition;
 - PR/external communication;
 - meetings.
3. Responses and analysis were considered at the Board's February 2019 meeting.
4. The draft evaluation survey for 2019 is annexed at **Appendix 1**.

ANALYSIS AND FINDINGS:

5. Board members are invited to indicate any additional questions, or changes to last year's questions, bearing in mind changes will reduce the scope for direct comparison with last year's results.
6. Following initial discussions with Sam Younger, it is proposed to continue with the same respondents as last year, including the CEO, Executive Directors and Board Secretary.

7. The survey will be opened after the February 2020 board meeting to allow new board members to experience different board meetings and observing practice to be able to offer meaningful feedback. The results will be presented to May 2020 board.
8. As before, there will be opportunities for free text comment on each area of evaluation, in addition to the performance rating indicators. Comments will be invited on the basis that they will be shared verbatim, although not attributed to individuals.

RECOMMENDATION:

- To agree the format and timeframe for 2019 self-evaluation.

Appendix 1: DRAFT evaluation survey 2019