

## APPENDIX 2.4



### Certificate of compliance with Internal governance rules 2019

**On behalf of [board of AR or RB] I [insert name of leader of board of AR or RB] certify that the obligations are understood and that [approved regulator or regulatory body] is in compliance with the Internal governance rules 2019 made under Section 30 of the Legal Services Act 2007.**

Each rule is initialled to certify compliance.

Rule 1	The overarching duty	[ ]
Rule 2	Duty to delegate	[ ]
Rule 3	Provision of assurance to approved regulator	[ ]
Rule 4	Regulatory Autonomy	[ ]
Rule 5	Prohibition on dual roles	[ ]
Rule 6	Individual conduct	[ ]
Rule 7	Governance: lay composition	[ ]
Rule 8	The regulatory board: appointments and terminations	[ ]
Rule 9	Regulatory resources	[ ]
Rule 10	Regulatory body budget	[ ]
Rule 11	Shared services	[ ]
Rule 12	Communication by persons involved in regulation	[ ]
Rule 13	Candour about compliance	[ ]
Rule 14	Disputes and referrals for clarification	[ ]
Rule 15	Guidance	[ ]
Rule 16	Saving provisions	[ ]
Rule 17	Exemptions	[ ]

This certificate is accompanied by an explanation of the actions taken by [approved regulator/regulatory body] to ensure compliance. (Annex A)

This certificate is accompanied by a copy of the Board minute recording the decision taken to certify compliance. (Annex B)

**Signed:**

**On behalf of the Board of [approved regulator or regulatory body]**