

REPORT TO: CILEx REGULATION BOARD

FOR: DISCUSSION

DATE: 15 July 2020

REPORT TITLE: ITEM 6 - Strategic Performance, Risk and Operational Report

**SUBMITTED BY: Stuart Dalton, Director of Policy, Governance and Enforcement
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PURPOSE OF REPORT:

1. For Board to consider strategic objective delivery, performance, and risk alongside significant operational developments as a whole to maximise integrated consideration.

HIGHLIGHTED MATTERS:

2. The top issues to highlight are:

- Availability of public indemnity insurance for entities
- CRM
- Coronavirus and its impact (including on CILEx) – particularly financial but also on wider projects

Strategic Dashboard – Business Plan Delivery

3. Please see the Strategic Dashboard (**Appendix 6.1**). The 2020 Business Plan is included in **Appendix 6.2**.

Risk Section

4. The Risk Register (**Appendix 6.4**) sets out only the top 9 risks. SMT have reviewed the top risks on the Heat Map. The complete risk register can be viewed on SharePoint.
5. There are two new top risks: Public Indemnity Insurance availability and Legal Choices.
6. Two top risks have been removed: Group Services capacity and CILEx's qualification strategy.

RECOMMENDATIONS:

- To **NOTE** the report and consider matters that require further discussion.

APPENDICES:

Appendix 6.1: Strategic Dashboard

Appendix 6.2: Business Plan

Appendix 6.3: Risk Heat Map

Appendix 6.4: Risk Register