

REPORT TO: CILEx REGULATION BOARD

FOR: DISCUSSION

DATE: 29 September 2020

REPORT TITLE: ITEM 6 - Strategic Performance, Risk and Operational Report

**SUBMITTED BY: Stuart Dalton, Director of Policy, Governance and Enforcement
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PURPOSE OF REPORT:

1. For Board to consider strategic objective delivery, performance, and risk alongside significant operational developments as a whole to maximise integrated consideration.

HIGHLIGHTED MATTERS:

2. The top issues to highlight are:

- The LSB's new approach
- Availability of professional indemnity insurance for entities
- CRM
- Coronavirus and its impact (including on CILEx)

Strategic Dashboard – Business Plan Delivery

3. Please see the Strategic Dashboard (**Appendix 6.1**). The 2020 Business Plan is included in **Appendix 6.2**.

Risk Section

4. The Risk Register (**Appendix 6.4**) sets out only the top risks. SMT have reviewed the top risks on the Heat Map. The complete risk register can be viewed on SharePoint.
5. There is one new top risk: the LSB's new approach. One top risk has been removed with July Board agreement: Legal Choices.

RECOMMENDATIONS:

- To **NOTE** the report and consider matters that require further discussion.

APPENDICES:

Appendix 6.1: Strategic Dashboard

Appendix 6.2: Business Plan

Appendix 6.3: Risk Heat Map

Appendix 6.4: Risk Register (Top Risks)

Appendix 6.5: Commercially Sensitive - removed

Appendix 6.6: Performance report

Appendix 6.7: Commercially Sensitive - removed