



REPORT TO: CILEx REGULATION BOARD

FOR: DISCUSSION

DATE: 17 NOVEMBER 2020

REPORT TITLE: ITEM 6 -STRATEGIC PERFORMANCE, RISK & OPERATIONAL REPORT

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PURPOSE OF REPORT:

1. For Board to consider strategic objective delivery, performance, and risk alongside significant operational developments as a whole to maximise integrated consideration.

HIGHLIGHTED MATTERS:

2. The top issues to highlight are:
 - Availability of professional indemnity insurance for entities
 - Coronavirus and its impact on income
 - Coronavirus and its impact on people and business continuity

Strategic Dashboard – Business Plan Delivery

3. Please see the Strategic Dashboard (**Appendix 6.1**). The 2020 Business Plan is included in **Appendix 6.2**, with the proposed 2021 Business Plan.

Risk Section

4. The Risk Register (**Appendix 6.4**) sets out only the top risks. SMT have reviewed the top risks on the Heat Map. The complete risk register can be viewed on SharePoint.
5. There are no new top risks. Three risks have been removed: Member data (removed due to excellent progress addressing the risk); LSB approach (removed following Board feedback this is operational rather than strategic); independence financial sustainability (removed given the September Board's steer on independence).

RECOMMENDATIONS:

- To **NOTE** the report and consider matters that require further discussion.

APPENDICES:

Appendix 6.1: Strategic Dashboard
Appendix 6.2: Business Plan
Appendix 6.3: Risk Heat Map
Appendix 6.4: Risk Register

Appendix 6.5: Operational Report
Appendix 6.6: Performance report
Appendix 6.7: Practice Rights and Entity Dashboard