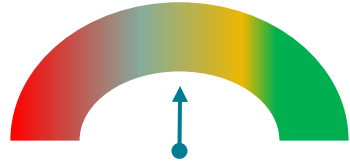


Business Plan Status Overview:

Overall Programme Status: **AMBER**



The main issues to highlight are CILEx's PCF feedback; the ongoing impact of coronavirus on our projects and budget and the enduring lack of PII for entities threatening the viability of entities. Equally, most activities are performing to timeframe and budget with any associated risks being managed via the risk register. Significant achievements include making savings to help our position for 2021; CRM progress on prior conduct, EDI data linked to renewals, risk matrices and directories and the LSB's first stage approval of the alternative route to practice rights application.

Status Dashboard



Consumer:

No Board action sought. Positive CRM progress on Directories and risk matrices, but this is later due to CRM finance being prioritized.



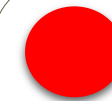
Diversity & Accessibility:

The Business Plan activities are largely on track. However it is put as amber due to the LSB raising EDI progress in its performance assessment questions.



Standards:




Overall good progress with all activities green



Management actions:

The most significant risks:

- Financial risks in light of COVID and PCF
- Whilst good progress with CRM, SMT's view is to keep at red until we see these improvements delivered

Key:  On track  Needs support to get back on track  Needs significant support to get back on track

Business Plan: Key deliverables & exception mitigation

Quarter 4 2020 – to September Board

Consumer:

- Immigration Transparency Rule change submitted to LSB
- Legal Choices – meeting with LCGB and LSB CEO to discuss future funding and direction – funding agreed in principle by all regulators
- Consumer event – took place on 21 Oct

Diversity & Accessibility:

- EDI and risk matrices as part of renewals
- EDI action plan updated following LSB feedback
- Agreement with CILEx on co-ordinated EDI approach
- Contribute to CILEx's EDI Strategy and newly formed EDI NEDs Group

Standards

- Decision on whether to bid for the Technology Fund or in collaboration with other regulators publish outputs from design sprint for future law tech development in the market
- Enforcement rule change consultation (3x3) approved by LSB before January 2021
- Alternative route for practice rights part 1 - approved by LSB

Management

- CRM – testing and sign off of risk and entity and directory and monitoring the fixes for CPD and Prior Conduct
- Independence phase 2 – agreeing phase 2 scope – await Chris Bones feedback following update to Linda Ford

Next reporting period - updated for November Board

Consumer:

- Immigration Transparency Rule change submitted to LSB

Diversity & Accessibility:

- EDI and risk matrices as part of renewals
- EDI action plan updated following LSB feedback
- Agreement with CILEx on co-ordinated EDI approach
- Contribute to CILEx's EDI Strategy and newly formed EDI NEDs Group

Standards

- Decision on whether to bid for the Technology Fund or in collaboration with other regulators publish outputs from design sprint for future law tech development in the market
- Enforcement rule change consultation (3x3) approved by LSB before January 2021
- COVID exemption rule change for remote panels not needing panel - reapproval

Management

- CRM – testing and sign off of risk and entity and directory and monitoring the fixes for CPD and Prior Conduct
- Independence phase 2 – agreeing phase 2 scope