



**REPORT TITLE: Annual Board, Committee & Panel Reports**

**REPORT TO: CILEx REGULATION BOARD      DATE: 15 July 2021**

**FOR: APPROVAL**

**SUBMITTED BY: Stuart Dalton, Director of Governance  
Vicky Purtill, Director of Authorisation & Supervision**

**PURPOSE OF REPORT:**

**Legal Services Board**

1. To present the CILEx Regulation Ltd 2020 annual report for sign off. To report to the Board on the work of committees and the operation of the risk framework.

**BACKGROUND:**

2. In addition to the main CILEx Regulation Ltd annual report, the following regulatory responsibilities provide for annual report to the Board:
  - The Admissions and Licensing Committee Rules provide at Rule 2 for the committee to report to the Board annually.
  - The Enforcement Rules provide at Rule 41 for an annual report on the work of the Investigators, Professional Conduct Panel, Disciplinary Tribunal and Appeals Panel.
  - The Strategic Risk Committee Rules provide at Rule 4 for an annual report and recommendations concerning the operation of the risk framework.
  - The Compensation Fund annual report, which, following the winding up of the CILEx Compensation Fund Ltd, is provided to the Board for review, owing to their position as Trustees of the Fund.
  - CLE Apprenticeships External Quality Assurance Annual Report 2020.

**RECOMMENDATION:**

- That the thanks and appreciation of the Board for the dedication and commitment of members of the committees and panels be recorded.
- That the Board **APPROVE** the following for publication:
  - CILEx Regulation Ltd Annual Report 2020
  - Admissions and Licensing Committee Report 2020
  - Investigation, Disciplinary and Appeals Report 2020
  - Strategic Risk Committee Report 2020
  - Compensation Fund Annual Report 2020
  - CLE Apprenticeships External Quality Assurance Annual Report 2020

**APPENDICES**

**Appendix 11.1:** CILEx Regulation Ltd Annual Report 2020

**Appendix 11.2:** Admissions and Licensing Committee Annual Report 2020

**Appendix 11.3:** Enforcement Annual Report 2020

**Appendix 11.4:** Strategic Risk Annual Report 2020

**Appendix 11.5:** Compensation Fund Annual Report 2020

**Appendix 11.6:** CLE Apprenticeships External Quality Assurance Annual Report 2020