

# **RULE APPROVAL PROCESS**

Version 1.0 20/08/21

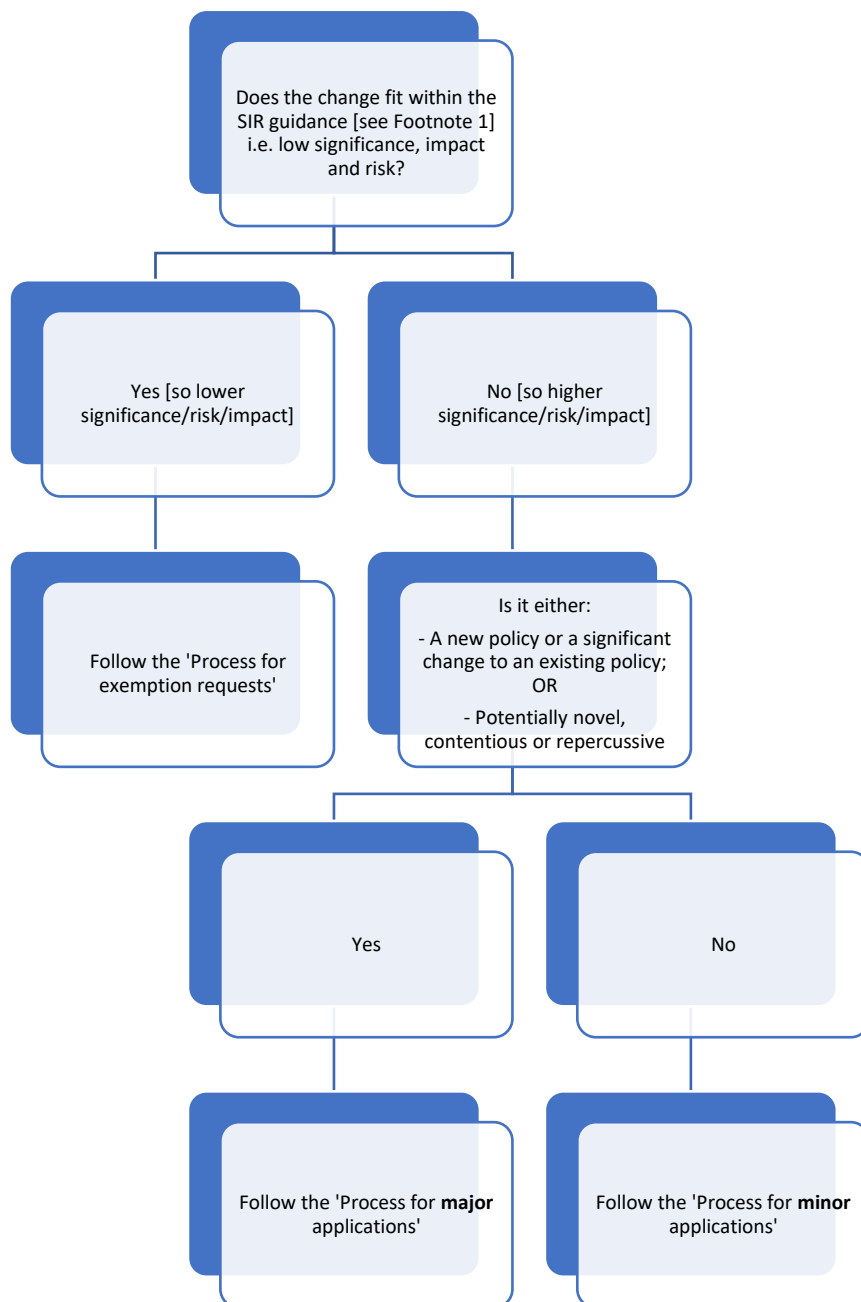
## **Introduction**

1. This document sets out the internal quality assurance process for making an application to alter regulatory arrangements or exempt direction request to the Legal Services Board.
2. It incorporates the new rules and guidance provided by the LSB in 2021 with the experience gained from the office in recent applications.

## **Background**

3. Rule changes may arise because of a change in strategy, policy, or process at CRL or because of the identification of limitations with the existing rules and regulations (or other regulatory arrangements) currently in operation at CRL.
4. To change the existing regulatory arrangements, CRL must make an application to the LSB for rule change.
5. All rule changes must be overseen by the CRL Board [reflecting the scheme of reservation and delegation] and therefore timescales for implementation of new or revised rules must take this into account.

## Choosing the correct approach for the rule change – decision tree



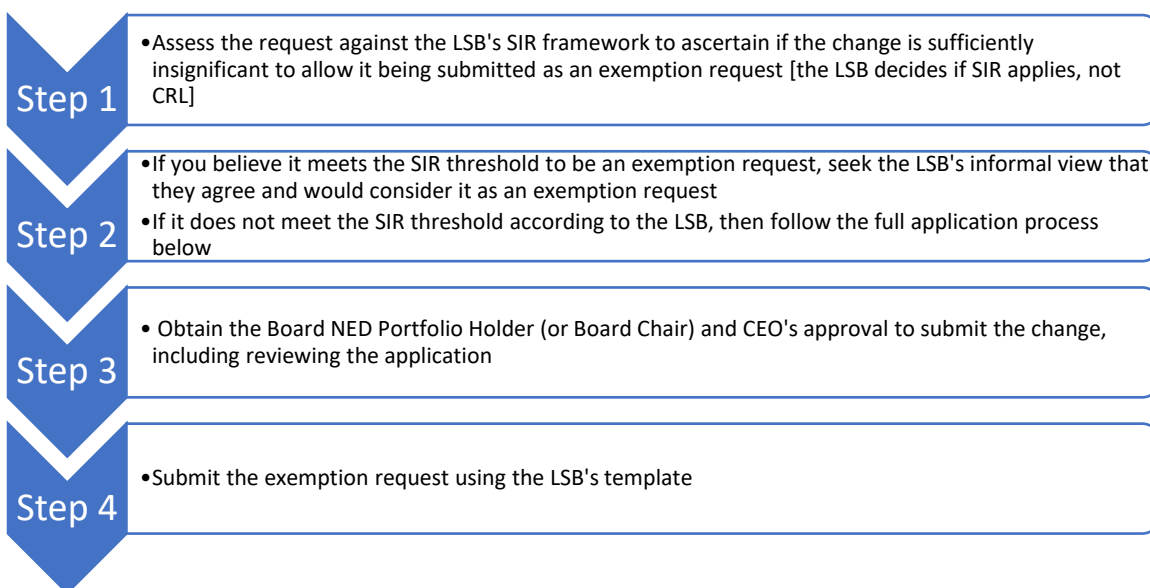
Examples of major rule changes: Education Standards 2021; ACCA 2021; QASA 2021

An example of a minor rule is PII changes to the MTC

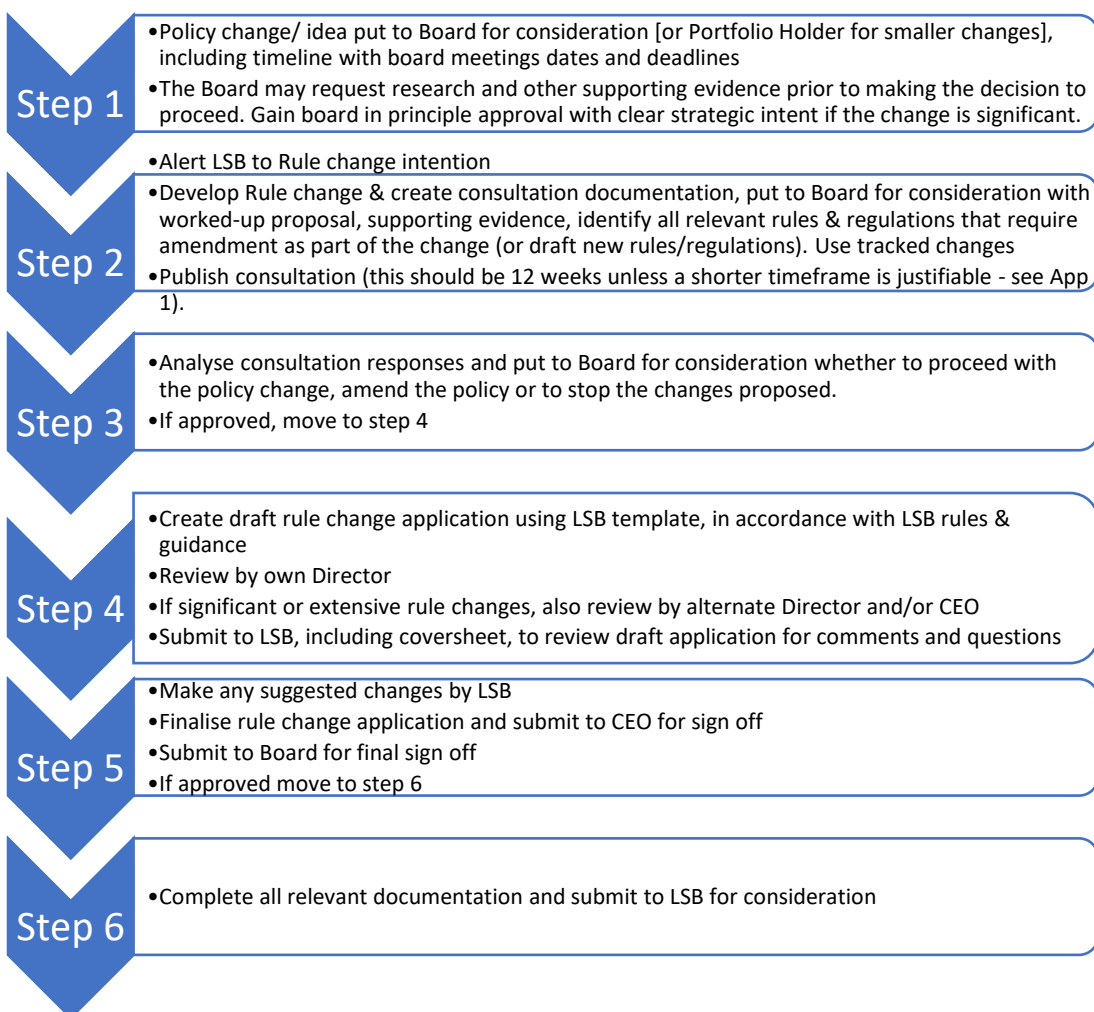
Exemption request examples: practice rights handbook re in-house working/ Compensation fund annual contribution

<sup>1</sup> [https://www.legalservicesboard.org.uk/what\\_we\\_do/regulation/pdf/sir\\_framework\\_external\\_v1.pdf](https://www.legalservicesboard.org.uk/what_we_do/regulation/pdf/sir_framework_external_v1.pdf)

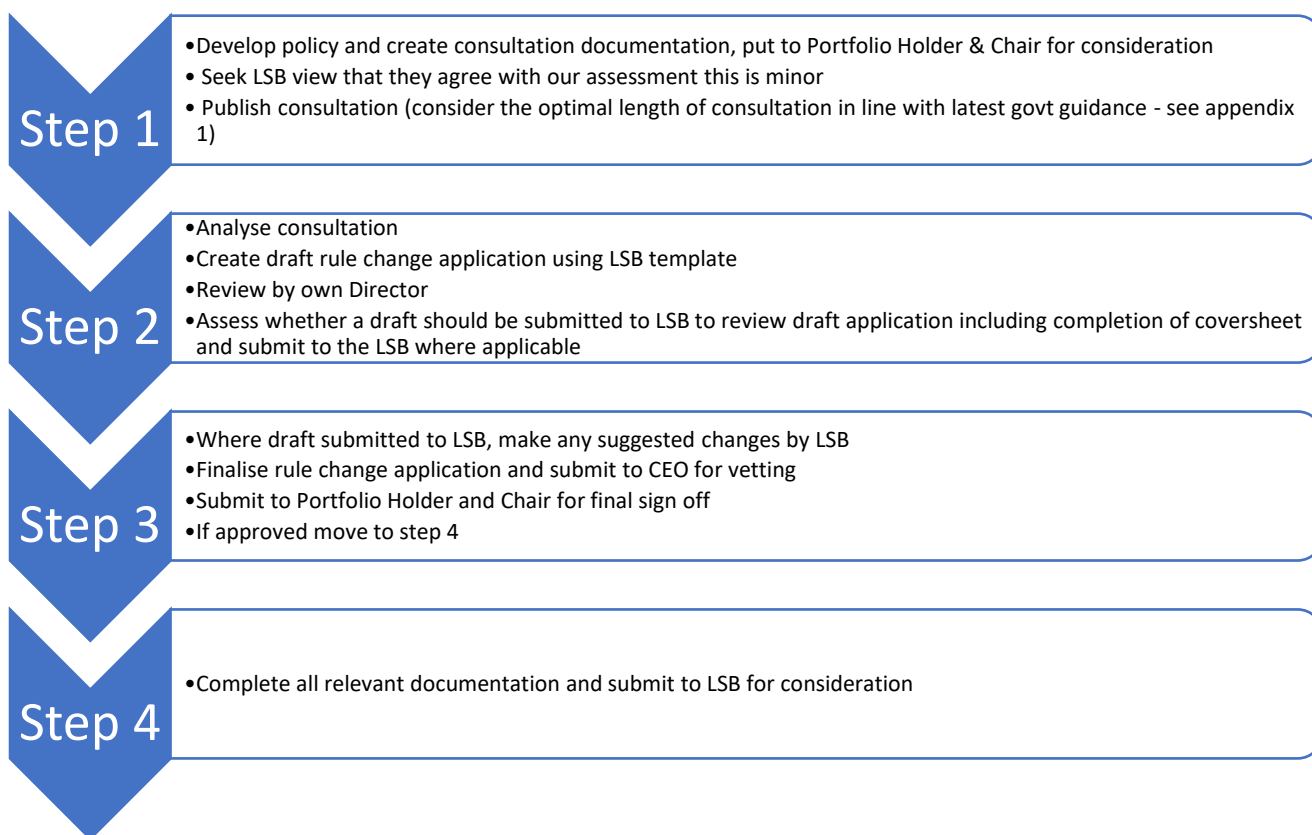
## Process for exemption requests



## Process for major applications



## Process for minor applications



## Appendix 1: Supporting information

LSB application draft rules and guidance (including template):

[Proposed Rules for Applications to Alter Regulatory Arrangements Consultation \(legalservicesboard.org.uk\)](https://legalservicesboard.org.uk)

Government guidance on consultations (correct on 20 August 21):

[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/691383/Consultation\\_Principles\\_1\\_.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/691383/Consultation_Principles_1_.pdf)