

There will be a meeting of the Board of Directors of CILEx Regulation Ltd On 16 November 2021 via Teams

Rebecca Kemish, Personal Assistant
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Janine Griffiths-Baker Interim Chief Executive Officer

AGENDA

SESSION 1 - 10.00 - 11.30 WELCOME & INTRODUCTIONS ITEM 1 Apologies ITEM 2 Declarations of interest Noting STANDING ITEMS ITEM 3 Minutes of previous meetings and Action Log	ITEM		Approval/Noting /Discussion		
ITEM 1		SESSION 1 - 10.00 - 11.30			
ITEM 2 Declarations of interest Noting STANDING ITEMS ITEM 3 Minutes of previous meetings and Action Log	WELCOME & INTRODUCTIONS				
STANDING ITEMS ITEM 3	ITEM 1	Apologies			
Minutes of previous meetings and Action Log Confidential minutes 28 September 2021 Confidential minutes exceptional meeting 19 October 2021 Action Log	ITEM 2	Declarations of interest	Noting		
Confidential minutes 28 September 2021 Confidential minutes exceptional meeting 19 October 2021 Action Log ITEM 4 Chair's update ITEM 5 CEO Report ITEM 6 Financial update EXTERNAL SPEAKER ITEM 7 Sarah Chambers (Chair of Legal Services Consumer Panel) SESSION 2 11.50-13.00 ITEMS FOR DISCUSSION ITEM 8 Consumer strategy deep dive & response to LSB consultation paper ITEM 9 Compensation Fund arrangements Approval ITEM 10 Entity review Discussion PERFORMANCE ITEM 11 2022 Business Plan ITEM 12 Communications & Engagement Plan ITEM 13 Strategic Performance 2021 ITEM 14 Risk Register Approval ITEM 15 Director of Authorisation & Supervision report ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	STANDIN	G ITEMS			
ITEM 5 CEO Report Noting ITEM 6 Financial update Approval EXTERNAL SPEAKER ITEM 7 Sarah Chambers (Chair of Legal Services Consumer Panel) SESSION 2 11.50-13.00 ITEMS FOR DISCUSSION ITEM 8 Consumer strategy deep dive & response to LSB consultation paper ITEM 9 Compensation Fund arrangements Approval ITEM 10 Entity review Discussion LUNCH SESSION 3 - 13.30-14.50 PERFORMANCE ITEM 11 2022 Business Plan Approval ITEM 12 Communications & Engagement Plan Approval ITEM 13 Strategic Performance 2021 Noting ITEM 14 Risk Register Approval ITEM 15 Director of Authorisation & Supervision report Approval ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00-16.00	ITEM 3	 Confidential minutes 28 September 2021 Confidential minutes exceptional meeting 19 October 2021 	Approval		
TEM 6	ITEM 4	Chair's update	Noting		
TEM 6	ITEM 5	CEO Report	Noting		
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SESSION 2 11.50-13.00 ITEMS FOR DISCUSSION ITEM 8	EXTERNA				
ITEMS FOR DISCUSSION ITEM 8	ITEM 7		Discussion		
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ITEM 10 Entity review LUNCH SESSION 3 - 13.30-14.50 PERFORMANCE ITEM 11 2022 Business Plan ITEM 12 Communications & Engagement Plan ITEM 13 Strategic Performance 2021 ITEM 14 Risk Register ITEM 15 Director of Authorisation & Supervision report ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	ITEM 8		Approval		
LUNCH SESSION 3 - 13.30-14.50 PERFORMANCE ITEM 11	ITEM 9	Compensation Fund arrangements	Approval		
SESSION 3 - 13.30-14.50 PERFORMANCE ITEM 11	ITEM 10	Entity review	Discussion		
PERFORMANCE ITEM 11 2022 Business Plan Approval ITEM 12 Communications & Engagement Plan Approval ITEM 13 Strategic Performance 2021 Noting ITEM 14 Risk Register Approval ITEM 15 Director of Authorisation & Supervision report Approval ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	LUNCH				
ITEM 11 2022 Business Plan Approval ITEM 12 Communications & Engagement Plan Approval ITEM 13 Strategic Performance 2021 Noting ITEM 14 Risk Register Approval ITEM 15 Director of Authorisation & Supervision report Approval ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	SESSION 3 - 13.30-14.50				
ITEM 12 Communications & Engagement Plan Approval ITEM 13 Strategic Performance 2021 Noting ITEM 14 Risk Register Approval ITEM 15 Director of Authorisation & Supervision report Approval ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	PERFORMANCE				
ITEM 13 Strategic Performance 2021 Noting ITEM 14 Risk Register Approval ITEM 15 Director of Authorisation & Supervision report Approval ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	ITEM 11	2022 Business Plan	Approval		
ITEM 14 Risk Register Approval ITEM 15 Director of Authorisation & Supervision report Approval ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	ITEM 12	Communications & Engagement Plan	Approval		
ITEM 15 Director of Authorisation & Supervision report Approval ITEM 16 Director of Policy, Governance & Enforcement report SESSION 4 - 15.00–16.00	ITEM 13		Noting		
ITEM 16 Director of Policy, Governance & Enforcement report Approval SESSION 4 - 15.00–16.00			Approval		
report SESSION 4 - 15.00–16.00					
	ITEM 16	•	Approval		
GOVERNANCE		SESSION 4 - 15.00-16.00			
	GOVERN	ANCE			



ITEM 17	LSB's BSB well-led review action plan – CRL well led but not BSB	Approval	
ITEM 18	Board annual self-assessment questionnaire	Approval	
ITEM 19	2022 Annual cycle of business	Approval	
ITEM 20	Board effectiveness	Discussion	
Any other business			
ITEM 21	AOB	Discussion	

2021 Meetings:

• 7 December (teleconference)

2022 Meetings:

• TBC