

DRAFT evaluation survey 2021

1) Strategy

- a) We develop strategy effectively
- b) We are clear about what we want to achieve
- c) We have well documented goals and priorities
- d) Our agendas reflect our strategic plan and priorities
- e) We know how we are doing against our strategic priorities

2) Business Planning and Performance

- a) We have a clear business plan for the year ahead
- b) Our business plan reflects our priorities
- c) We have an effective business planning process in place
- d) We evaluate the company's performance effectively
- e) We use clear and relevant performance indicators
- f) We know how we are doing against our business objectives

3) Financial oversight and accountability

- a) We monitor financial performance effectively
- b) We keep ourselves well informed about the financial status of the company
- c) We fully understand our funding model
- d) We know what resources we have
- e) We fully understand our fiduciary duties and discharge them effectively
- f) We engage effectively with budget setting

4) Risk

- a) We manage risk effectively
- b) We have well developed and effective risk management strategies and processes
- c) We regularly review potential sources of risk and put plans in place to mitigate these

5) Stakeholder Engagement

- a) We identify primary stakeholders and ensure that performance results are communicated effectively to them
- b) We use feedback from stakeholders to inform our strategy and business planning

~~6) Control~~

- ~~a) We ensure timely independent audit~~
- ~~b) We understand compliance required of regulatory bodies~~

7) Governance

- a) We comply with our Code of Conduct
- b) We comply with our Standing Orders
- c) Arrangements for reviewing the performance of individual Board members work well
- d) We manage conflicts of interest well

8) Relationships within the Board

- a) Relationships within the Board work well
- b) Discussion at meetings promotes well informed and evidence-based decisions
- c) We take collective responsibility for our decisions even where we as individuals disagree
- d) Board meetings are always interesting
- e) Board meetings are frequently fun
- f) Everyone contributes skills, enthusiasm, energy and leadership
- g) We evaluate our performance as a Board effectively
- h) Remote meetings are effective

9) Relationship with Executive

- a) We are clear about our roles and responsibilities
- b) There is a clear understanding of where the Board's role ends and the CEO's begins
- c) We have effectively monitored the performance of the Executive
- d) Our relationship with the office teams works well
- e) We provide a source of direction and advice to the Executive team
- f) We communicate well with the Executive team
- g) We give the Executive helpful feedback
- h) The Executive provide relevant information to the Board in a timely way
- i) We are adequately briefed on relevant issues

~~**10) Relationship with CILEx**~~

- ~~a) Our relationship with CILEx works well~~
- ~~b) We engage constructively with CILEx~~

11) Board composition

- a) We have the right number of seats on the Board
- b) We have the right skills mix
- c) Our portfolio structure works well
- d) We have the right diversity composition on the Board

~~**12) PR/External Communication**~~

- ~~a) We communicate effectively through use of [Regulation Matters](#) monthly web based publication, annual reports, press releases, our website and social media outlets~~
- ~~b) We are effective in communicating our brand to professionals within the legal services market~~

13) Meetings

- a) The frequency of meetings works well
- b) The length of meetings works well
- c) Telecons work well
- d) Time at meetings is used effectively
- e) Agenda setting by the CEO and Chair works well
- f) Agendas cover relevant business issues
- g) The agenda setting process enables Board members to raise issues and concerns
- h) Information provided at meetings is concise, clear and relevant
- i) We come to meetings prepared
- j) We use technology effectively

14) Responsibility for the organisation's performance

- a) We take responsibility for the organisation's performance within the statutory framework of regulatory objectives and performance obligations within which it operates